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PTO-1390 (Rev. 02-2005)

Appro use through 37/1/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 8737-029/NP

U.S. APPLICATION HO-41 theown, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/CN03/00924

INTERNATIONAL FILING DATE 30 October 2003 (30.10.2003)

TITLE OF INVENTION A CATALYST FOR ETHYLENE POLYMERIZATION, A PROCESS FOR PREPARING THE

PRIORITY DATE CLAIMED 04 November 2002 (4.11.2002)

SAME AND USE THEREOF APPLICANT(S) FOR DO/EO/US XIAO, Mingwei; YU, Shijiong; YE, Xiaofeng and CHAI, Zixiao Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. \mathbf{X} The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.

Items 11 to 20 below concern document(s) or information included:

11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

An English language translation of the annexes of the International Preliminary Examination Report under PCT

13. A preliminary amendment.

10. ___

An Application Data Sheet under 37 CFR 1.76.

Article 36 (35 U.S.C. 371(c)(5)).

A substitute specification.

16. A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Express Mail Transmittal (Label EV 570 176 555 US); Check for \$900, Form HDP-1449 with ISR for

20. X Other items or information: PCT/CN03/00924; copy of Published Int'l App WO 2004/050723 A1; Return Receipt Postcard

JC14 Rec'd PST/PTO 0 3 MAY 2005

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Approved for use through 3/31/2007. OMB 0651-0021

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U.S. APPLICAT	ON NO. (it knowi	see 37 CFR 1.5			ATTORNEY'S DOC	
1	U/55	5094	PCT/CN0	3/00924	8737-02	9/NP
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. X Basic national fee\$300					\$ 300.00	
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					400.00 \$	
TOTAL OF 21, 22 and 23 =					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE		
31 - 100 =	-69 _{/50} =			x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 0.00	
CLAIMS NUMBE		ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		17 - 20 =	0	x \$50	\$ 0.00	
Independent clair	ns	1 -3=	0	x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S		(if applicable)		+ \$360	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =					\$ 900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					0.00	
SUBTOTAL =					\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00	
TOTAL NATIONAL FEE =					\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0.00	
TOTAL FEES ENCLOSED =					\$	900.00
					Amount to be refunded:	\$
					Amount to be charged:	\$
a. X A check in the amount of \$ 900.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No08-0750 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Michael J. SCHMIDT					1/hours	1
HARNESS, DICKEY & PIERCE, P.L.C.						
P. O. Box 828 Michael J. S.					CHMIDT	
Bloomfield Hills, MI 48303						
United States of America 34,007 REGISTRATION NUMBER MAY 3, 2005						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AS PCT RECEIVING OFFICE (RO/US)

Express Mail No.: EV 570 176 555 US

Date of Deposit: 03 May 2005

Application No.:

Not yet assigned – 371 of PCT/CN03/00924

International

Filing Date:

30 October 2003 (30.10.2003)

Applicant:

Mingwei XIAO et al.

Title:

A CATALYST FOR ETHYLENE POLYMERIZATION,

A PROCESS FOR PREPARING THE SAME AND

USE THEREOF

Attorney Docket:

8737-029/NP

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office to Addressee on 03 May 2005 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 570 176 555 US);
- 2. Check in the amount of \$900.00;
- Transmittal Letter to the US Designated/Elected/Office Concerning a Submission Under 35 USC 371 (2 pages, in duplicate);
- 4. Application Data Sheet (4 pages);
- 5. English Translation of International Application (31 pages);
- 6. Information Disclosure Statement with Form HDP-1449 & ISR;
- 7. Copy of Published International Application WO 2004/050723 A1;
- 8. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600 Susan McGaw